

03-27-00

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03/24/00
jc639 U.S. PTO

Practitioner's Docket No. 6852-1

PATENT

Preliminary Classification: Proposed Class: Subclass:

jc135 U.S. PTO
09/536328
03/24/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Mark Web Helweg

For (title): PRICE CHARTING SYSTEM AND TECHNIQUE

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*
*(When using Express Mail, the Express Mail label number is mandatory;
 Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

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TRANSMISSION

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Date: March 24, 2000 _____

Michelle E. Hujar
Signature

Michelle E. Hujar
(type or print name of person certifying)

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"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

1. Type of Application

This transmittal is for an original (nonprovisional) application.

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

49 Page(s) of Specification

3 Page(s) of Claims

44 Sheet(s) of Drawing(s)--Informal

B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney

1 Page(s) of abstract

Small Entity Statement

3. Declaration or Oath

Enclosed

Executed by:

* inventor.

4. Inventorship Statement

The inventorship for all the claims in this application is the same.

5. Language

English

6. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$690.00
Total Claims (37 CFR 1.16(c))	22	- 20 =	2 x	\$18.00	\$36.00
Independent Claims (37 CFR 1.16(b))	2	- 3 =	0 x	\$78.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$260.00	\$0.00
Filing Fee Calculation					\$363.00

7. **Fee Payment Being Made at This Time**

Enclosed

Filing Fee	\$363.00
Total Fees Enclosed	\$363.00

8. **Method of Payment of Fees**

Check in the amount of \$363.00 is attached.


9. **Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 15-0450.

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

Refund.

Reg. No.: 32,712
Tel. No.: 330-864-5550
Customer No.: 021324


Signature of Practitioner

Scott M. Oldham
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Helweg, Mark Web; ,

Application No.:

Filed on:

Title: PRICE CHARTING SYSTEM AND TECHNIQUE

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b))--INDEPENDENT INVENTOR**

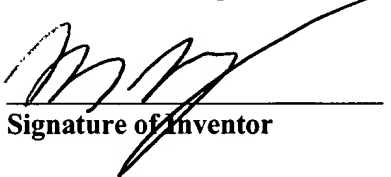
As a below named inventor, I hereby state that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office, with regard to the invention described in the specification filed herewith, with title as listed above.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

No person, concern or organization exists to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Mark Web Helweg



Signature of Inventor

Date 1-21-2000